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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 60.246-277/10790 Attorney Docket No. UTILITY - PT Darren S. Sheehan PATENT APPLICATION First Inventor TRANSMITTAL Control Of HVAC System Title EV221422985US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. **Mail Stop Patent Application APPLICATION ELEMENTS** Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) Computer Program (Appendix) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. 🗸 Computer Readable Form (CRF) Specification [Total Pages_ (preferred arrangement set forth below) - Descriptive title of the invention Specification Sequence Listing on: - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix Paper - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) c. Statements verifying identity of above copies - Detailed Description **ACCOMPANYING APPLICATION PARTS** - Claim(s) - Abstract of the Disclosure 9. 🔽 Assignment Papers (cover sheet & document(s)) Drawing(s) (35 U.S.C. 113) [Total Sheets 37 CFR 3.73(b) Statement 10. Power of (when there is an assignee) Attorney 5. Oath or Declaration [Total Sheets_ 11 English Translation Document (if applicable) Newly executed (original or copy) a. 🖊 Copies of IDS Information Disclosure 12. Statement (IDS)/PTO-1449 Citations Copy from a prior application (37 CFR 1.63(d)) **Preliminary Amendment** 13 (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. 🗸 (Should be specifically itemized) **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) 15. Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR 16. 🔲 Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
Other: Certificate of Express Mail..... Application Data Sheet. See 37 CFR 1.76 17. 🖳 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Continuation-in-part (CIP) Divisional of prior application No.: Examiner Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS \Box **Customer Number:** 026096 OR Correspondence address below Name John E. Carlson Carlson Gaskey & Olds, P.C. Address 400 West Maple Road, Suite 350 City State Zip Code lмі Birmingham 48009 Fax Telephone |248-988-8360 Country United States of America 248-988-8363

This collection of information is required by 37(CER 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name (Print/Type) John E. Carlson

Signature

Registration No. (Attorney/Agent)

37,794

Date

December 2, 2003

PTO/SB/17 (01-03)

Telephone (248) 988-83

December 2, 2003

Date

Approved for use through 04/30/2003. OMB 0651-0032
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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Signature

John E. Carlson

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Co	omplete if Known	
Application Number		
Filing Date	Herewith	
First Named Inventor	Darren S. Sheehan	
Examiner Name		
Art Unit		
Attorney Docket No.	60,246-277/10790	

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
Check Credit card Money Other None		3. ADDITIONAL FEES						
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Name		1053	130	1053	130	cover sheet Non-English specification		
The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments		1812		1812		For filing a request for ex parte reexamination		
Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) during the pendency of this application			1804	920*	1804	920*	Requesting publication of SIR prior to	
	cated below, except for the filin		1005	1 040*	1005	1 040*	Examiner action Requesting publication of SIR after	
to the above-identified		,g 100	1805	1,840*	1605	1,040	Examiner action	
FEE CALCULATION		1251	110	2251	55	Extension for reply within first month		
1. BASIC FILING			1252	410	2252	205	Extension for reply within second month	
Large Entity Small E	ntity	For Bold	1253	930	2253	465	Extension for reply within third month	
Fee Fee Fee F Code (\$) Code (Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month	
1001 750 2001		770.00	1255	1,970	2255	985	Extension for reply within fifth month	
1002 330 2002	165 Design filing fee	7,0.00	1401	320	2401	160	Notice of Appeal	
1003 520 2003	260 Plant filing fee		1402	320	2402		Filing a brief in support of an appeal	
1004 750 2004	375 Reissue filing fee		1403	280	2403		Request for oral hearing	
1005 160 2005	80 Provisional filing fee		1451		1451	•	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 770.00		1452	110	2452		Petition to revive - unavoidable		
2. EXTRA CLAIN	FEES FOR UTILITY AN	ID REISSUE	1453		2453		Petition to revive - unintentional	
	Fee for Extra Claims below	rom	1501 1502	470	2501 2502		Utility issue fee (or reissue) Design issue fee	
Total Claims 12	-20** = X 18	=0	1503	630	2503		Plant issue fee	
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Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt		
Fee Fee Fee Code (\$) Cod	Fee <u>Fee Description</u> le (\$)		8021	40	8021	40	Recording each patent assignment per	40.00
1202 18 22	02 9 Claims in excess of 2	20	1809	750	2809	375	property (times number of properties) Filing a submission after final rejection	
1201 84 22	01 42 Independent claims in	n excess of 3	1003	7 30	2008	, 3,3	(37 CFR 1.129(a))	
1203 280 22	03 140 Multiple dependent c		1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	i
1204 84 22	04 42 ** Reissue independe over original patent		1801	750	2801	375	Request for Continued Examination (RCE)	
1205 18 22	05 9 ** Reissue claims in o		1802	900	1802	900	Request for expedited examination of a design application	
			Other	fee (sp	ecify) _			
				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00				
SUBMITTED BY (Complete (if applicable)								

WARNING: Information on this form may b c me public. Credit card information should not be included n this form. Pr vide credit card information and authorization on PTO-2038.

Registration No.

37,794

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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Darren S. Sheehan

Serial No.:

Unknown

Filed:

Herewith

Title:

Control Of HVAC System

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number: EV221422985US

Date of Deposit:

December 2, 2003

I hereby certify that the attached documents or fees are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450".

Lesley Upton

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)